

# MDL Yield Parameter (Y): Reproducibility Note for Battery Experiments and CAISO Grid Simulation

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## Abstract

This note documents what was executed, which files were used, how the MDL controller was applied, and how the reported *MDL yield parameter* was computed for two executed embodiments: (i) controlled lithium-ion battery discharge experiments under fixed cutoff rules with two policies (baseline constant-current vs. MDL-governed multi-step current reduction), and (ii) a 24-hour CAISO OASIS real-data grid simulation comparing baseline (no curtailment) vs. single-layer MDL vs. dual-layer MDL. Yield is defined as a benefit-to-cost ratio under a declared embodiment contract. This document reports the computed yields under the declared definitions and provides a file-level reproduction recipe; it does not claim a universal constant across unrelated domains.

## 1 Scope and relationship to the MDL papers

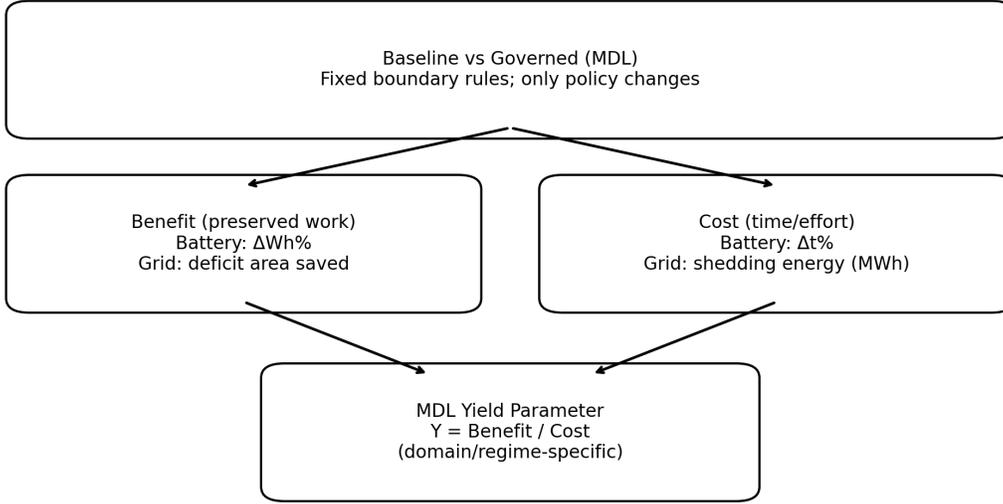
This PDF is intended to ship alongside the downloadable CSV artifacts. It provides (a) a plain-language description of what was run, (b) the exact data files used, (c) the controller inputs/outputs, and (d) the yield calculations used to produce the reported numbers.

**Non-claims.** This note does **not** claim a new fundamental physical interaction and does **not** claim a numerically universal constant across all domains. It reports *domain/regime-specific* yield parameters under fixed boundary rules and fixed benefit/cost definitions.

## 2 Definition: MDL yield parameter (Y)

The MDL yield parameter is a compact summary computed from paired baseline vs. governed outcomes under fixed boundary rules.

## MDL Yield Parameter (Y) - Definition



Y is an empirical summary under declared benefit/cost definitions. Not asserted universal across domains.

### 2.1 Battery yield (dimensionless %/%)

For paired battery runs (baseline R vs. governed M), we define:

$$Y_{\text{batt}} := \frac{\Delta Wh\%}{\Delta t\%}, \quad \Delta Wh\% = 100 \cdot \frac{Wh_M - Wh_R}{Wh_R}, \quad \Delta t\% = 100 \cdot \frac{t_M - t_R}{t_R}. \quad (1)$$

Interpretation: fractional delivered-energy gain per fractional runtime gain, under a fixed cutoff rule.

### 2.2 Grid yield (dimensionless MWh/MWh)

For the grid simulation, benefit is deficit-area saved and cost is total shedding energy:

$$Y_{\text{grid}} := \frac{\Delta A_{\text{deficit}}}{E_{\text{shed}}} = \frac{A_{\text{deficit}}^{\text{baseline}} - A_{\text{deficit}}^{\text{policy}}}{E_{\text{shed}}^{\text{policy}}}. \quad (2)$$

where  $A_{\text{deficit}} := \sum_t \max(0, -\Delta_{\text{grid}}(t)) \Delta t$  (MWh) and  $E_{\text{shed}} := \sum_t u(t) \Delta t$  (MWh).

## 3 Executed embodiments and artifact list

### 3.1 Battery embodiment (experimental)

**Boundary rule (fixed):** terminate each run at the first time  $t_{\text{cut}}$  such that  $V_{\text{pack}}(t) \leq V_{\text{cut}}$ , where  $V_{\text{cut}}$  is fixed within each pack group across policies.

**Policies:**

- **R (baseline):** constant-current discharge to cutoff.

- **M (MDL-governed):** monotone multi-step “drop-down amps” schedule as  $V(t)$  approaches cutoff, to avoid boundary violation while extending viability.

**Primary endpoints:**

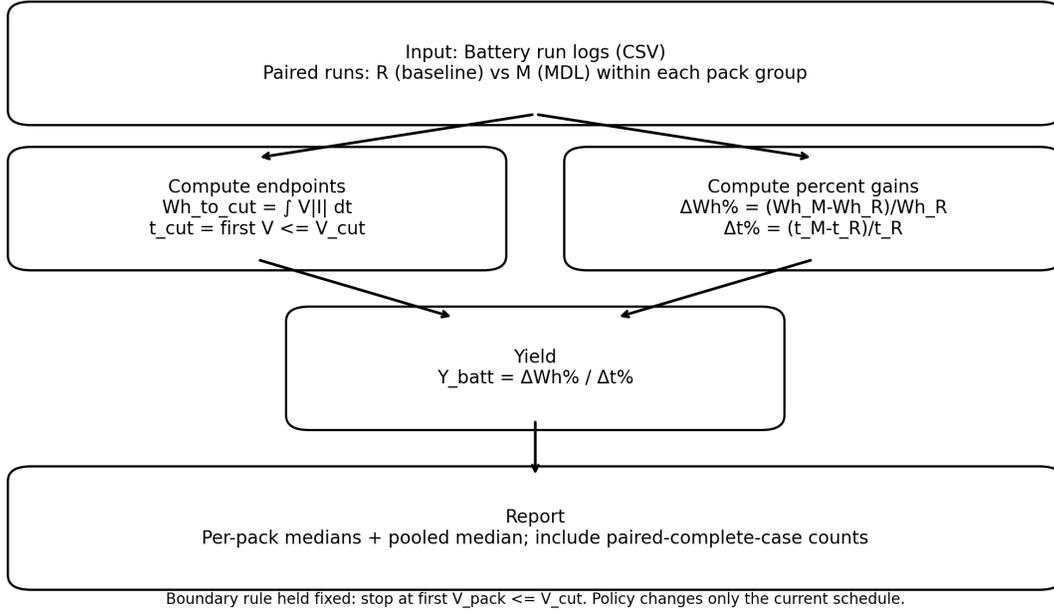
$$Wh_{\text{to cut}} = \int_0^{t_{\text{cut}}} V(t) |I(t)| dt, \quad (3)$$

$$Ah_{\text{to cut}} = \int_0^{t_{\text{cut}}} |I(t)| dt. \quad (4)$$

**Battery data files (as shipped):**

- Mena\_Domiance\_Law\_battery Test.zip (contains paired run logs; baseline runs labeled Test\_R\_\* and governed runs labeled Test\_M\_\*, organized by pack group).

### Battery Embodiment - Reproduction Pipeline



### 3.2 Grid embodiment (CAISO real-data simulation)

**Inputs:** CAISO OASIS system totals over a fixed 24-hour window.

**Potential and load:**

$$P_{\text{grid}}(t) = ISO\_TOT\_GEN\_MW(t) + ISO\_TOT\_IMP\_MW(t) - ISO\_TOT\_EXP\_MW(t), \quad (5)$$

$$L_{\text{grid}}(t) = ISO\_TOT\_LOAD\_MW(t), \quad (6)$$

$$L_{\text{eff}}(t) = L_{\text{grid}}(t) - u(t), \quad (7)$$

$$\Delta_{\text{grid}}(t) = P_{\text{grid}}(t) - L_{\text{eff}}(t). \quad (8)$$

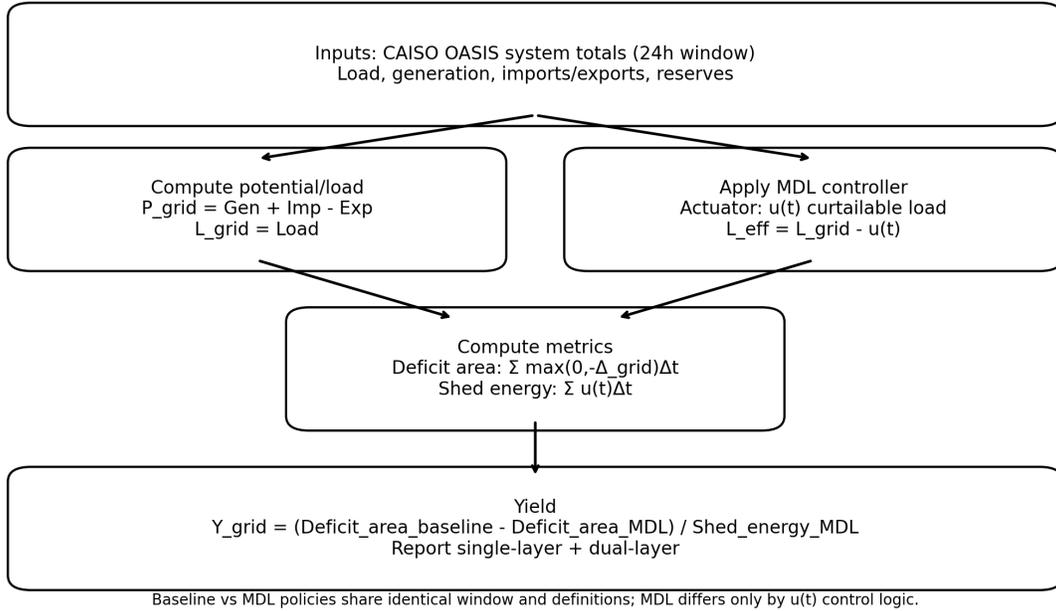
**Policies:**

- **Baseline:**  $u(t) = 0$ .
- **MDL single-layer:** controller acts on  $\Delta_*(t) = \Delta_{\text{grid}}(t)$ .
- **MDL dual-layer:** controller acts on  $\Delta_*(t) = \Delta_{\text{true}}(t) = \Delta_{\text{grid}}(t) g(t)$ , where  $g(t)$  is a reserve-admissibility gate.

**Grid data files (as shipped):**

- `baseline.csv`, `mdl_single.csv`, `mdl_dual.csv` (time-aligned series)
- `metrics.csv` (summary metrics table, including deficit area and shedding energy)
- CAISO OASIS archives (as-downloaded ZIPs) used to generate the above CSVs.

**Grid Embodiment (CAISO) - Reproduction Pipeline**



## 4 Computed yields (what we found)

### 4.1 Battery yields

Using median percent gains by pack group and pooled medians, Table 1 reports  $Y_{\text{batt}}$ .

### 4.2 Grid yields

Using the 24-hour CAISO window summary totals:

- $A_{\text{deficit}}^{\text{baseline}} = 18318.12$  MWh.
- Single-layer:  $A_{\text{deficit}}^{\text{single}} = 603.62$  MWh,  $E_{\text{shed}}^{\text{single}} = 18852.76$  MWh.
- Dual-layer:  $A_{\text{deficit}}^{\text{dual}} = 1584.53$  MWh,  $E_{\text{shed}}^{\text{dual}} = 17786.78$  MWh.

Table 1: Battery embodiment: MDL yield parameter  $Y_{\text{batt}} = \Delta Wh_{\%} / \Delta t_{\%}$  computed from median percent gains under the fixed cutoff rule.

Pack group	$\Delta Wh_{\%}$	$\Delta t_{\%}$	$Y_{\text{batt}}$
DCB205 (5Ah)	+4.31%	+55.0%	0.078
KB224-03 (2Ah)	+9.05%	+81.4%	0.111
R840040_A (4Ah)	+6.04%	+63.9%	0.094
R840040_B (4Ah)	+5.97%	+64.5%	0.092
<b>Pooled medians (all runs)</b>	<b>+5.82%</b>	<b>+63.38%</b>	<b>0.091</b>

Computed values.

$$Y_{\text{grid}}^{\text{single}} = \frac{18318.12 - 603.62}{18852.76} = 0.9396, \quad (9)$$

$$Y_{\text{grid}}^{\text{dual}} = \frac{18318.12 - 1584.53}{17786.78} = 0.9408. \quad (10)$$

## 5 Reproduction steps (minimum)

### 5.1 Battery (from the run logs)

1. Unzip `Mena_Domiance_Law_battery_Test.zip`.
2. Pair runs within each pack group by matching baseline `Test_R_*` files to governed `Test_M_*` files by run index.
3. For each file, compute  $t_{\text{cut}}$  as the first sample where  $V_{\text{pack}} \leq V_{\text{cut}}$ .
4. Compute  $Wh_{\text{to cut}}$  and  $Ah_{\text{to cut}}$  by numerical integration/summation to  $t_{\text{cut}}$ .
5. Compute  $\Delta Wh_{\%}$  and  $\Delta t_{\%}$  and form  $Y_{\text{batt}}$ .
6. Report medians by pack group and pooled medians across all pairs.

### 5.2 Grid (from the CSV outputs)

1. Load `baseline.csv`, `mdl_single.csv`, `mdl_dual.csv` and verify alignment to a 24-point hourly grid.
2. Compute  $A_{\text{deficit}}$  for each policy:  $\sum_t \max(0, -\Delta_{\text{grid}}(t)) \Delta t$ .
3. Compute  $E_{\text{shed}}$  for each MDL policy:  $\sum_t u(t) \Delta t$ .
4. Compute  $Y_{\text{grid}} = (A_{\text{deficit}}^{\text{baseline}} - A_{\text{deficit}}^{\text{policy}}) / E_{\text{shed}}^{\text{policy}}$ .

## 6 Novelty (what is new here)

The novelty is not the arithmetic identity  $\Delta = Potential - Load$ . The novelty is the use of fixed boundary rules and paired baseline vs governed trajectories to produce a reproducible, embodiment-level yield summary ( $Y$ ) for viability-governed control. Under fixed definitions,  $Y$  is stable within an embodiment (battery and grid), while differing across embodiments as expected.

## 7 Limitations

- Yield values depend on the declared benefit and cost definitions. Changing definitions changes  $Y$ .
- The grid embodiment is a system-balance simulation and does not include network constraints (line limits, topology, N-1).
- This document reports reproducible within-domain yields; it does not claim numerical universality across domains.